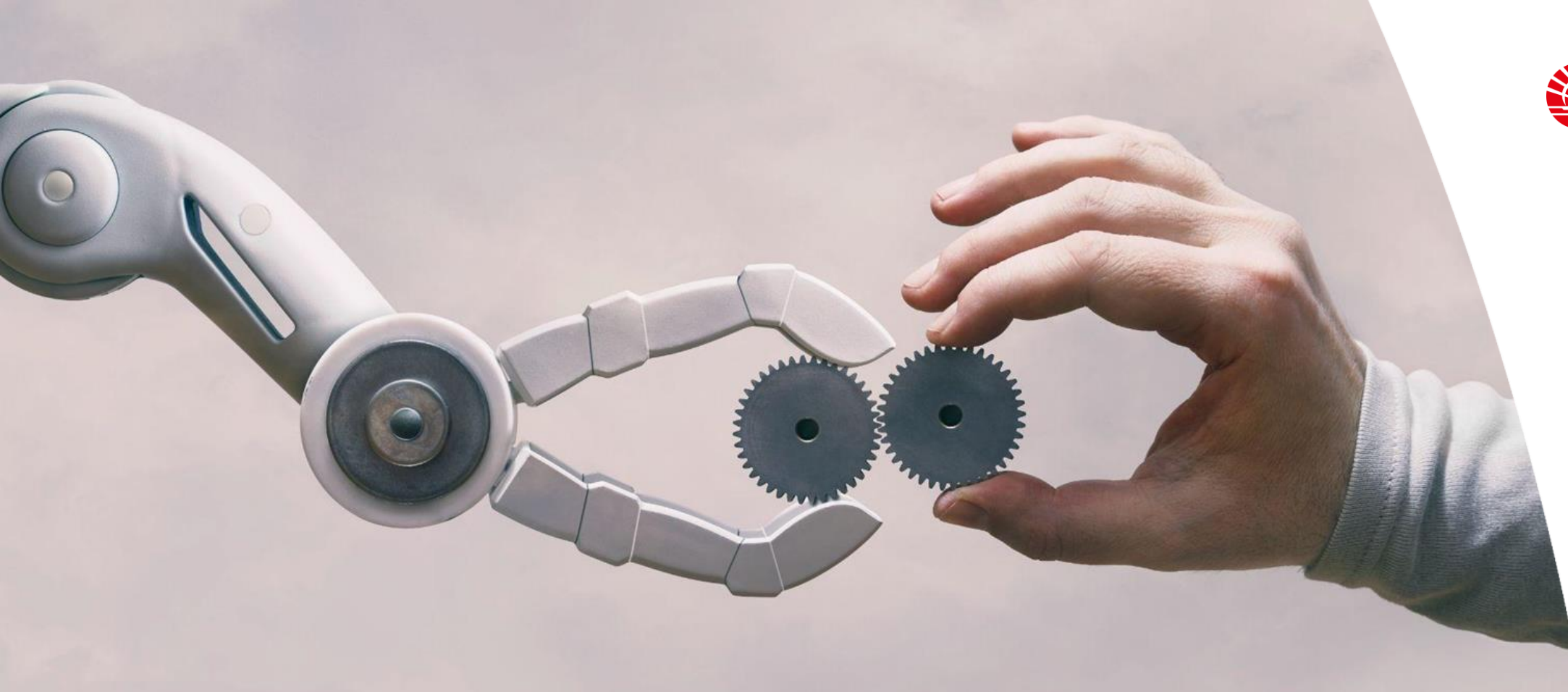




Demo for Unit Trust Online Trading

Unit Trust Online Subscription Demo



Unit Trust Online Subscription Demo

The screenshot displays the OCBC online subscription interface for unit trusts. The top navigation bar includes links for Investment, Account Services, eStatement & eAdvice, Remittance, FX Margin Trading, Loan Services, and Other Services. A left sidebar lists various investment options, with 'Unit Trust' highlighted. The main content area is titled 'FUND SUBSCRIPTION' and includes an 'Important Disclosure' section with numbered points. Below this is a 'Declaration' section with a checkbox for non-US residency. A 'Confirm' button is located at the bottom right. Six numbered callouts guide the user through the process: 1. Select 'Investment', 2. Select 'Unit Trust', 3. Select 'Search & Subscribe', 4. Click to confirm you have read and understood the Important Disclosure, 5. Declare you are not a citizen or resident of USA, and 6. Click 'Confirm'.

1 Select "Investment"

2 Select "Unit Trust"

3 Select "Search & Subscribe"

4 Click to confirm you have read and understood the Important Disclosure

5 Declare you are not a citizen or resident of USA

6 Click "Confirm"

Unit Trust Online Subscription Demo



Investment Account Services eStatement & eAdvice Remittance FX Margin Trading Loan Services Other Services

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Fund Subscription

Details

Fund Type: Launched Fund

1 Search Fund

Fund Search

Fund Name: DR IN & GT HK#C

Fund House: - Fund House -

- Asset Class - - Region - - Sector -

- Category - - Risk Rating - - CCY - - Period - - Performance -

- Dividend Policy - - Pay Out Frequency - - Ann. Yield - - Fund Type -

- Wealth Management Connect -

☐ All ☒ Available ☐ Top Selling Funds ☐ New Funds ☒ Redemption only

Reset Search

Summary Performance Dividend

Fund Name	Category	Unit Price (A/C)	Risk Rating	Indicator	Doc	Purchase	Fund Video
Allianz HKD Income - AM/dis/HKD	Fixed Income - Global	HKD 9.1 (2023-01)	Medium	✓	FPAS	✓	

Documents: [Prospectus](#) | [Annual Report](#) | [Semi-Annual Report](#)

Information Source: ET Wealth Limited #

Remarks:

1. Fund's performance is calculated on a NAV-to-NAV basis. Returns are calculated without dividend reinvestment.
2. Please note that unit price can be Net Asset Value or Unit Price.
3. Product Risk Rating is assigned by OCBC Wing. It is subject to absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.
4. You should note that the offer details and the unit trust products available online may differ from those available from branches.

Note: All distribution payments below a certain amount which are not justified to be distributed by way of Cash, will be automatically applied in the purchase of further Units in the relevant class of Units. Please refer to the relevant fund's offering documents for the details.

2 Select "Purchase"

3 Select dividend distribution types

Unit Price (A/C)

dividend (if any) to be distributed by way of "Unit"

dividend (if any) to be distributed by way of "Cash"

Select Cancel

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Declaration

1. I/We acknowledge that I/we have received, read, understood and accepted the contents of the Bank's: (a) OCBC Bank (Hong Kong) Limited Terms and Conditions for All Accounts and Related Services and (b) Terms and Conditions of Investment Account ("Terms and Conditions"), as well as those of the related offering documents (including without limitation, prospectus, explanatory memorandum, annual reports, interim report, key fact statement and/or similar documents, howsoever described) of the relevant fund(s) and all risk disclosure(s) made in all of them and (c) Disclosure in respect of investment product. I/We agree that all of these documents (including amendments to them from time to time) are binding on me/us and that this application/subscription is subject to these documents (and to the extent of any inconsistency between the said Bank's documents and the related offering documents, the Bank's said documents shall prevail). I/We understand that hard copies of these documents can be obtained from the investment corner of the Bank's designated branches.

2. I/We acknowledge that I/we fully understand this investment product and am/are willing to assume the risks associated with it. Without prejudice to my/our right under Clause 2.8 of the Terms and Conditions (if applicable), I/we hereby undertake to be fully responsible for my/our application and shall indemnify the Bank against all and any losses, damage or costs that the Bank may sustain suffer or incur as a consequence of my/our making this application except where such losses, damage or costs arise from wilful default, negligence or fraud of the Bank.

3. I/We am/are prepared for potential loss due to fluctuations in the performance of the relevant fund(s), including the possibility of losing part or all of the original principal and in certain circumstances my/our right to redeem may be restricted. For further information about the risks involved, I/we need to refer to the related documents.

4. I/We warrant to the Bank that I/we am/are not (a) subject to any of the prohibitions or restrictions (such as a person's age, nationality, citizenship, residence and/or domicile) set out in the offering document(s) of any of the relevant fund(s); and (b) investing in the relevant fund(s) on behalf of any person who is subject to any of such prohibition or restrictions and I/we undertake to inform the Bank immediately if the foregoing warranty is breached. I/We confirm that I/we am/are aware of the relevant legal and tax and exchange control regulations in force in my/our country of citizenship, residence or domicile.

5. (a) I/We understand that the Bank does not and will not act as advisor to me/us. Any decision to invest is made by me/us on the basis of the contents of the related offering documents. I/We have been informed that the risk level(s) of the relevant fund(s) may be revised from time to time, and advised to seek independent professional advice to further understand the investment risk of the relevant fund(s) or review my/our investment portfolio if necessary.
(b) **(This clause 5(b) shall only be applicable if we are an Institutional Professional Investor or a Corporate Professional Investor (as defined in the Terms and Conditions))** we are not relying on any communication (whether written or oral) of the Bank or any of its affiliates as an investment advice or as a recommendation to make this investment (unless otherwise agreed by the Bank in writing) and understand that any information or explanation relating to such transaction(s) provided by the Bank shall NOT (unless otherwise agreed by the Bank in writing) be considered its investment advice or recommendation to us to make this investment.

6. I/We have read, understand and accept the content of the above "Important Disclosure" and "Warning Statements" made by the Bank.

7. I/We understand that in respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and me/us out of the selling process or processing of the related transaction, the Bank is required to enter into a Financial Dispute Resolution Scheme process with me/us; however any dispute over the contractual terms of the fund(s) should be resolved between directly the relevant fund house and me/us.

8. For the purpose of this application, I/we authorize the Bank to debit my/our designated settlement account under my/our Investment Account. I/We confirm that I/we have deposited sufficient funds in my/our account to complete this application. The Bank shall be entitled to retain any interest generated on any payment(s) made by/to me/us pending transfer to/from the fund house or me/us or other disposal hereunder. I/We further agree that all dividends and distributions declared on the funds will be automatically reinvested except where the Bank otherwise advises.

9. In the event of any inconsistency between the English and the Chinese versions, the English version shall prevail.

☒ I/We have read, understood and accept the above declarations as well as the Note and Disclaimer below.

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Note and Disclaimer:

1. All information provided above is for reference only.

2. Product Risk Rating is assigned by OCBC Bank (Hong Kong) Limited based on the product risk level (as may be revised from time to time) of the relevant fund(s).

3. Fund price, exchange rate and market value are subject to fluctuations.

4. Investment funds are traded using forward price.

5. Please refer to the offering document of the relevant fund(s) for more details.

6. You may create or cancel your instruction on the online platform. However, creation/cancellation of instruction may not be available to some of the funds for specific reason(s) (e.g. SFC unauthorized funds cannot be subscribed online). Cancellation of instruction can be applied only if the instruction has not been processed. The instruction must be submitted and accepted by the Bank before the Bank's cut-off time on the same day of creating the instruction in order to be processed. The Bank has the absolute discretion to reject the instruction of particular fund(s) without giving any prior notice. Please contact our designated branches for any enquiries.

7. You will receive an order reference number after placing an instruction of subscription, please note that the order reference number only represents the acknowledgement of receipt of your instruction by the Bank. There is no guarantee that your instruction can be executed.

8. Please refer to relevant fund's offering documents for any subscription restrictions (e.g. minimum fund size for subscription, the dealing frequency and trading hours etc.), requirements and charges.

9. "Confirmation and Receipt" will be issued to you after execution of the transaction.

10. Please be reminded that communications over the Internet may be subject to interruptions, transmission blackouts, delayed or incorrect data transmissions arising out of situations beyond our control. Messages sent over internet cannot be guaranteed to be completely secure. OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries will not be responsible in any manner for any damages incurred by you as a result of any delay, loss, diversion, alteration or corruption of any message either sent to or received from OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries over the internet.

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To fulfill the requirements of the regulators, please complete the suitability assessment below. Information provided will be kept confidential.

The following suitability assessment is based on your latest Risk Profiling Questionnaire (RPQ) in the Bank's record for assessment. Do you have any changes to your Risk Profiling Questionnaire dated 09 Jun 2023 in the Bank's record?

☐ Yes ☒ No

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1 Select whether you have any changes to your Risk Profiling Questionnaire

2 Click "Next"

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Suitability Assessment

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What is your investment objective for this transaction?

☐ Capital Preservation - Principally seek to preserve capital

☐ Income Oriented - Principally seek to have income generated from your investment

☒ Growth Oriented - Principally seek for capital growth of investment

☐ Aggressive Growth - Principally seek for profit maximization with extensive use of derivatives or leverage

Asset Concentration Assessment

1. Based on your investment holdings in the Bank, your asset concentration per individual product is that:

this intended investment amount is **Below 20%** of your assets under management maintained in the Bank.

2. Based on your investment holdings in the Bank, your asset concentration per product type is that:

this intended investment amount together with the sum of previous investment(s) in the same product type which remain(s) outstanding is **Equal or more than 60%** of your assets under management maintained in the Bank.

Do you invest less than 60% (including the investment amount of this application(s) and the sum of previous investment(s) in the same product type which remain(s) outstanding) of your total Net Worth# in this individual product?

☒ Yes ☐ No

3. Based on your investment holdings in the Bank, asset concentration per product risk rating is that:

this intended investment amount together with the sum of previous investment(s) in products with the same risk level in the Bank is **Below 100%** of your total Net Worth# in this individual product?

Total Net Worth equals to Total Assets minus Total Liabilities in the Bank and other financial institutions excluding real estate properties.

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Suitability Assessment

Suitability Assessment

Customer	Product	Result
Risk Matching		✓ Match
Investment risk profile	Risk level	
Very Aggressive	Medium	
Investment Objective Matching		✓ Match
Investment objective	Investment objective(s)	
Growth Oriented	Growth Oriented , Growth Oriented	
Investment Tenor Matching		✓ Match
Investment horizon	Investment horizon	
5 years or above	5 years or above	
Investment Experience/Knowledge Assessment	Investment Experience/Knowledge Assessment	
Asset Concentration Assessment	Asset Concentration Assessment	
According to your asset under management maintained in the Bank, the asset concentration assessment per product type is/are noted, but you declared that there is no undue asset concentration per your total Net Worth#. # Net Worth equals to Total Assets minus Total Liabilities in the Bank, excluding financial institutions excluding real estate properties.		
Suitability Assessment Result:		
✓ The selected product is suitable to you.		
Customer Declaration		
I/We confirm and declare that		
☒	I/We fully understand the nature and associated risks of the product as well as the suitability assessment result. I/We understand that this product is suitable for me/us after taking into account my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. I/We fully understand the investment product and the risks involved, and I/we request to apply for the product.	
☒	I/We understand that there is/are other investment alternative(s), which match(es) with my/our risk appetite, investment objective & experience, and expected investment horizon. I/We decide to apply for the product and do not consider any other alternatives at the moment.	
☒	I/We fully understand the product risk rating as shown as above is subject to change by the Bank from time to time and I/we can visit the Bank's website: www.ocbc.com.hk for details of the most recent risk rating update. I/We understand that I/we should carefully consider if the product continues to be suitable for me/us after taking into account the revised product risk level (if any), my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. If there is any mismatch as a result of a change in the product risk level, I/we am/are advised to seek independent professional advice.	

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Suitability Assessment Result (Transaction will be rejected if there is any mismatch)

2

Read and click to confirm and declare the Customer Declaration

3

Click "Next"

If you are classified as Unit Trust frequent trade customer, Unit Trust frequent trade customer declaration would be needed



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Fund Subscription

You have completed the "Customer Investment Suitability Questionnaire" and, based on the information provided, have been considered as suitable for the product. Please confirm the following transaction details:

Warning Statements for Complex Product

This fund is a complex product. Past performance is not indicative of future performance. For funds that are authorized by the SFC, SFC authorization is not an official recommendation or endorsement of a fund, nor does it guarantee the commercial merits of a fund or its performance. The relevant offering documents or information of this fund may not be reviewed by the SFC. You are advised to exercise caution in relation to the fund and the offer.

Product Details

Fund House:	ALLIANZGI ASIA PACIFIC LTD
Fund Name:	Allianz Income and Growth-Eng
Fund Price: (For reference only)	HKD 15.0000 (Previous Closing Price)
Dividend Distribution:	Cash
Product Risk Rating:	MEDIUM
Remark:	

Subscription Details

Investment Account:	Investment 371479-740
Subscription Amount:	HKD 10,000.00 (The above subscription amount will be debited from the settlement account of the selected investment account)
Subscription Fee:	5.0000%
The Bank's cut-off time:	17:45:00 (Instruction submitted before the cut-off time will be submitted to Fund House on the same working day.)

Amend

Confirm

Cancel

Important Disclosure

- The Bank will act as distribution agent of the fund house when providing the unit trust investment service to you. The funds are products of the fund house but not the Bank;
- There is no affiliation between the Bank and the fund house but the Bank is NOT an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to the relevant offering documents (3) and (4) below;
- The Bank will receive subscription fee/ switching fee up to the percentage stated in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;
- The Bank will receive from the fund house up to 30% of annual management fee of the fund stated in this application form as ongoing commission every year throughout the term of your investment in the fund. However, this should not result in any additional charge to you;
- The Bank may, at its sole and absolute discretion, offer discount of fees and charges as stated in the offering document(s) according to the fund type, account type, subscription amount and subscription channel.
- In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and you out of the selling process or processing of the related transaction, the Bank will refer the dispute to the Dispute Resolution Scheme process with you; however any dispute over the contractual terms of the fund(s) should be resolved between directly the product issuer and you.

Note and Disclaimer:

- All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.
- Product Risk Rating is assigned by OCBC Bank ("the Bank") according to the risk level of the investment product as determined by the Bank at its absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.
- Fund price, exchange rate and market value are for reference only.
- Investment funds are traded using forward pricing and prices for a dealing day of the relevant fund will be known on its next dealing day. The dealing day of different fund may vary.
- Please refer to the offering document of the relevant fund or announcement of fund house for details of "dealing day" as well as fees and charges.
- You may create or cancel your instruction on the online platform. However, creation/cancellation of instruction may not be available to some of the funds for specific reason(s) (e.g. SFC unauthorized funds cannot be subscribed online). Cancellation of instruction can be applied under the instruction status function, but it must be submitted and accepted by the Bank before the Bank's cut-off time on the same day of creating the instruction in order to be processed. The Bank has the absolute discretion to reject the instruction of particular fund(s) without giving any prior notice. Please contact our Wealth Management Manager at the investment corner of our designated branches for any enquiries.
- You will receive an order reference number after placing an instruction of subscription, please note that the order reference number only represents the acknowledgement of receipt of your instruction by the Bank. There is no guarantee that your instruction can be executed on the same day or can be eventually executed. Please refer to relevant fund's offering documents for any subscription restrictions (e.g. minimum fund size for subscription, the dealing frequency and trading hours etc.), requirements and charges.

Check transaction details and click "Confirm"



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Your Instruction has been Received. Bank Ref. No. WH005529

Instruction Received

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Fund Subscription

Warning Statements for Complex Product

This fund is a complex product. Past performance is not indicative of future performance. For funds that are authorized by the SFC, SFC authorization is not an official recommendation or endorsement of a fund, nor does it guarantee the commercial merits of a fund or its performance. The relevant offering documents or information of this fund may not be reviewed by the SFC. You are advised to exercise caution in relation to the fund and the offer.

Product Details

Fund House: ALLIANZGI ASIA PACIFIC LTD

Fund Name: Allianz Income and Growth-Eng

Fund Price: HKD 15.0000 (Previous Closing Price)
(For reference only)

Dividend Distribution: Cash

Product Risk Rating: MEDIUM

Remark:

Subscription Details

Investment Account: Investment 371479-740

Subscription Amount: HKD 10,000.00
(The above subscription amount will be debited from the settlement account of the selected investment account)

Subscription Fee: 5.0000%

The Bank's cut-off time: 17:45:00
(Instruction submitted before the cut-off time will be submitted to Fund House on the same working day.)

Information, Disclosures & Declarations

☒ I/We have read, fully understood and agreed all the below disclosures, declarations and information.

Important Disclosure

1. The Bank will act as distribution agent of the fund house when providing the unit trust investment service to you. The funds are products of the fund house but not the Bank;

2. There is no affiliation between the Bank and the fund house but the Bank is NOT an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to (3) and (4) below;

3. The Bank will receive subscription fee/ switching fee up to the percentage stated in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;

4. The Bank will receive from the fund house up to 30% of annual management fee of the fund stated in this application form as ongoing commission every year throughout the term of your investment in the fund. However, this should not result in any additional charges to the transaction specified in this application;

5. The Bank may, at its sole and absolute discretion, offer discount of fees and charges as stated in the offering document(s) according to the fund type, account type, subscription amount and subscription channel.

6. In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and you out of the selling process or processing of the related transaction, the Bank is required to enter into a Financial Dispute Resolution Scheme process with you; however any dispute over the contractual terms of the fund(s) should be resolved between directly the product issuer and you.

Declaration

I/We am/are not a citizen(s) or resident(s) of the United States of America.

Unit Trust Subscription Declaration

1. I/We acknowledge that I/we have received, read, understood and accepted the contents of the Bank's: (a) OCBC Bank (Hong Kong) Limited Terms and Conditions for All Accounts and Related Services and (b) Terms and Conditions of Investment Account ("Terms and Conditions"), as well as those of the related offering documents (including without limitation, prospectus, explanatory memorandum, annual reports, interim report, key fact statement and/or similar documents, howsoever described) of the relevant fund(s) and all risk disclosure(s) made in all of them and (c) Disclosure in respect of investment product. I/We agree that all of these documents (including amendments to them from time to time) are binding on me/us and that this application/subscription is subject to these documents (and to the extent of any inconsistency between the said Bank's documents and the related offering documents, the Bank's said documents shall prevail). I/We understand that hard copies of these documents can be obtained from the investment corner of the Bank's designated branches.



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4. I/We warrant to the Bank that I/we am/are not (a) subject to any of the prohibitions or restrictions (such as a person's age, nationality, citizenship, residence and/or domicile) set out in the offering document(s) of any of the relevant fund(s); and (b) investing in the relevant fund(s) on behalf of any person who is subject to any of such prohibition or restrictions and I/we undertake to inform the Bank immediately if the foregoing warranty is breached. I/We confirm that I/we am/are aware of the relevant legal and tax and exchange control regulations in force in my/our country of citizenship, residence or domicile.

5. (a) I/We understand that the Bank does not and will not act as advisor to me/us. Any decision to invest is made by me/us on the basis of the contents of the related offering documents. I/We have been informed that the risk level(s) of the relevant fund(s) may be revised from time to time, and advised to seek independent professional advice to further understand the investment risk of the relevant fund(s) or review my/our investment portfolio if necessary.

(b) (This clause 5(b) shall only be applicable if we are an Institutional Professional Investor or a Corporate Professional Investor (as defined in the Terms and Conditions)) we are not relying on any communication (whether written or oral) of the Bank or any of its affiliates as an investment advice or as a recommendation to make this investment (unless otherwise agreed by the Bank in writing) and understand that any information or explanation relating to such transaction(s) provided by the Bank shall NOT (unless otherwise agreed by the Bank in writing) be considered its investment advice or recommendation to us to make this investment.

6. I/We have read, understand and accept the content of the above "Important Disclosure" and "Warning Statements" made by the Bank.

7. I/We understand that in respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and me/us out of the selling process or processing of the related transaction, the Bank is required to enter into a Financial Dispute Resolution Scheme process with me/us; however any dispute over the contractual terms of the fund(s) should be resolved between directly the relevant fund house and me/us.

8. For the purpose of this application, I/we authorize the Bank to debit my/our designated settlement account under my/our Investment Account. I/We confirm that I/we have deposited sufficient funds in my/our account to complete this application. The Bank shall be entitled to retain any interest generated on any payment(s) made by/to me/us pending transfer to/from the fund house or me/us or other disposal hereunder. I/We further agree that all dividends and distributions declared on the funds will be automatically reinvested except where the Bank otherwise advises.

9. In the event of any inconsistency between the English and the Chinese versions, the English version shall prevail.

☒ I/We have read, understood and accept the above declarations as well as the Note and Disclaimer below.

Suitability Assessment

Customer	Product	Result
Risk Matching		Match
Investment risk profile	Risk level	
Very Aggressive	Medium	
Investment Objective Matching		Match
Investment objective	Investment objective(s)	
Growth Oriented	Income Oriented , Growth Oriented	
Investment Tenor Matching		Match
Investment horizon	Tenor	
5 years or above	Less than 3 years	
Investment Experience/Knowledge Assessment		Pass
Asset Concentration Assessment		Pass

According to your asset under management maintained in the Bank, deviation in asset concentration assessment per product type is/are noted, but you declared that there is no undue asset concentration per your total Net Worth#.

Net Worth equals to Total Assets minus Total Liabilities in the Bank and other financial institutions excluding real estate properties.

Suitability Assessment Result:

Match The selected product is suitable to you.

Customer Declaration

I/We confirm and declare that

☒ I/We fully understand the nature and associated risks of the product as well as the suitability assessment result. I/We consider that this product is suitable for me/us after taking into account my/our risk appetite, investment objective(s) fully understand the investment product and the risks involved, and I/we request to apply for the product.

☒ I/We understand that there is/are other investment alternative(s), which match(es) with my/our risk appetite, investment objective & experience, and expected investment horizon. I/We decide to apply for the product and do not consider

☒ I/We fully understand the product risk rating as shown as above is subject to change by the Bank from time to time and I/we can visit the Bank's website: www.ocbc.com.hk for details of the most recent risk rating update. I/We understand that I/we should carefully consider if the product is suitable for me/us after taking into account the revised product risk level (if any), my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. If there is any mismatch as a result of a change in the product risk level, I/we am/are advised to seek independent professional advice.

Print & Save OK

Unit Trust Online Switching Demo



Unit Trust Online Switching Demo

The screenshot displays the OCBC online banking interface for Unit Trust switching. The top navigation bar includes links for Investment, Account Services, eStatement & eAdvice, Remittance, FX Margin Trading, Loan Services, and Other Services. The left sidebar lists various investment products, with 'Unit Trust' highlighted. The main content area is titled 'Fund Switching' and includes an 'Important Disclosure' section with two numbered points. Below the disclosure is a 'Declaration' section with a checkbox for 'I/We am/are not a citizen(s) or resident(s) of the United States of America.' A 'Confirm' button is located at the bottom right of the main content area. Six numbered callouts are overlaid on the image: 1. Select 'Investment' (points to the Investment tab), 2. Select 'Unit Trust' (points to the Unit Trust link in the sidebar), 3. Select 'Switch' (points to the Switch button in the Fund Switching section), 4. Click to confirm you have read and understood the Important Disclosure (points to the Declaration checkbox), 5. Declare you are not a citizen or resident of USA (points to the Declaration checkbox), and 6. Click 'Confirm' (points to the Confirm button).

OCBC

1 Select "Investment"

2 Select "Unit Trust"

3 Select "Switch"

4 Click to confirm you have read and understood the Important Disclosure

5 Declare you are not a citizen or resident of USA

6 Click "Confirm"

Unit Trust Online Switching Demo



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Fund Switching

Details

Investment Account: 371479-740

Fund House: ALLIANZGI ASIA PACIFIC LTD

Fund Name: Allianz Asian Multi Income Plus - AMg/dis/HKD

Product Risk Rating: LOW-TO-MEDIUM

Remark:

Switch From

Submit

Cancel

Note and Disclaimer:

1. Switching can only be made among designated funds under the same Fund House.

2. Any Switching must comply with the terms and conditions of offering documents of the fund(s). The switching will only be effective after the completion of the whole switching process.

3. All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.

4. Product Risk Rating is assigned by OCBC Bank ("the Bank") according to the risk level of the investment product as determined by the Bank at its absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. The product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.

5. Fund price, exchange rate and market value are for reference only.

6. The number of units available for switching exclude units subscribed or redeemed which are pending settlement.

7. Investment funds are traded using forward pricing and prices for a dealing day of the relevant fund will be known on its next dealing day. The dealing day of different fund may vary.

8. Please refer to the offering document of the relevant fund or announcement of fund house for details of "dealing day" as well as fees and charges.

9. You may create or cancel your instruction on the online platform. However, creation/cancellation of instruction may not be available to some of the funds for specific reason(s) (e.g. SFC unauthorized funds cannot be subscribed online). Cancellation of instruction can be applied under the instruction status function, but it must be submitted and accepted by the Bank before the Bank's cut-off time on the same day of creating the instruction in order to be processed. The Bank has the absolute discretion to reject the instruction of particular fund(s) without giving any prior notice. Please contact our Wealth Management Manager at the investment corner of our designated branches for any enquiries.

10. You will receive an order reference number after placing an instruction of switching, please note that the order reference number only represents the acknowledgement of receipt of your instruction by the Bank. There is no guarantee that your instruction can be executed on the same day or can be eventually executed. Please refer to relevant fund's offering documents for any switching restrictions (e.g. minimum fund size for switching, the dealing frequency and trading hours etc.), requirements and charges.

11. Please be reminded that communications over the Internet may be subject to interruptions, transmission blackouts, delayed or incorrect data transmissions arising out of situations beyond our control. Messages sent over internet cannot be guaranteed to be completely secure. OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries will not be responsible in any manner for any damages incurred by you as a result of any delay, loss, diversion, alteration or corruption of any message either sent to or received from OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries over the internet.

1 The Fund for switching

2 Click "Submit"

Customer Services Hotline 2815-9919

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Unit Trust Online Switching Demo



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Fund Switching

1 Search Fund

Fund Search

Fund Name: DR IN & GT HK#C

Fund House: Allianz Global Investors Asia Pacific Limited

- Asset Class - - Region - - Sector - - Transaction Type -

- Category - - Risk Rating - - CCY - - Period - - Performance -

- Dividend Policy - - Pay Out Frequency - - Ann. Yield - - Fund Type -

- Wealth Management Connect -

☒ All ☐ Available ☐ Top Selling Funds ☐ New Funds ☐ Redemption only

Reset Search

Selected Fund to Switch Out

Allianz Asian Multi Income Plus - AMg/dis/HKD

Summary Performance Dividend

Fund Name	Category	Unit Price (AOC)	Risk Rating	Indicator	Doc	Switch In	Fund Video
Allianz HKD Income - AM/dis/HKD	Fixed Income - Global	HKD 9.1 (2023-01-31)	Medium	✓	F P A S K	Switch In	Fund Video

Documents: Prospectus | Annual Report | Semi-Annual Report

Information Source: ET Wealth Limited #

Remarks:

- Fund's performance is calculated on a NAV-to-NAV basis. Returns are calculated without dividend reinvestment.
- Please note that unit price can be Net Asset Value (NAV) or purchase price.
- Product Risk Rating is assigned by OCBC Wing Lee Securities Limited. It is for reference only and does not constitute an investment recommendation. You should exercise absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should refer to the relevant fund's offering documents for the details.

2 Select "Switch In"

3 Select dividend distribution types

Your selected investment fund offers different dividend distribution types for your selection:

☐ Unit dividend (if any) to be distributed by way of "Unit"

☒ Cash dividend (if any) to be distributed by way of "Cash"

Select Cancel

Note: All distribution payments below a certain amount which are not justified to be distributed by way of Cash, will be automatically applied in the purchase of further Units in the relevant class of Units. Please refer to the relevant fund's offering documents for the details.

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Fund Switching

Warning Statements for Complex Product

This fund is a complex product. Past performance is not indicative of future performance. For funds that are authorized by the SFC, SFC authorization is not an official recommendation or endorsement of a fund, nor does it guarantee the commercial merits of a fund or its performance. The relevant offering documents or information of this fund may not be reviewed by the SFC. You are advised to exercise caution in relation to the fund and the offer.

Details

Investment Account: 371479-740

Fund House: ALLIANZGI ASIA PACIFIC LTD

Fund Name: Allianz Asian Multi Income Plus - AMG/dis/HKD

Product Risk Rating: LOW-TO-MEDIUM

Remark:

No. of Unit Available for Switching: 1,113,894.0000

No. of Unit for Switching: 100

The Bank's cut-off time: 17:45:00
(Instruction submitted before the cut-off time will be executed on the same dealing day; Instruction submitted after the cut-off time will be executed on the next dealing day. For more details about the dealing day, please refer to relevant prospectus.)

Switch To

Fund Name: Allianz Income and Growth-Eng

Fund Price: HKD 15.0000 (Previous Closing Price)
(For reference only)

Dividend Distribution: Cash

Product Risk Rating: MEDIUM

Remark:

Submit Cancel

Important Disclosure

1. The Bank will act as distribution agent of the fund house when providing the unit trust investment service to you. The funds are products of the fund house but not the Bank;

2. There is no affiliation between the Bank and the fund house but the Bank is NOT an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to the prospectus and (4) below;

3. The Bank will receive subscription fee/ switching fee up to the percentage stated in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;

4. The Bank will receive from the fund house up to 30% of annual management fee of the fund stated in this application form as ongoing commission every year throughout the term of your investment in the fund. However, this should not result in any additional charges to the transaction specified in the prospectus;

5. The Bank may, at its sole and absolute discretion, offer discount of fees and charges as stated in the offering document(s) according to the fund type, account type, subscription amount and subscription channel.

6. In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and you out of the selling process or processing of the related transaction, the Dispute Resolution Scheme process with you; however any dispute over the contractual terms of the fund(s) should be resolved between directly the product issuer and you.

Note and Disclaimer:

1. Switching can only be made among designated funds under the same Fund House.

2. Any Switching must comply with the terms and conditions of offering documents of the fund(s). The switching will only be effective after the completion of the whole switching process.

3. All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.

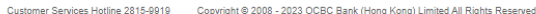
4. Product Risk Rating is assigned by OCBC Bank ("the Bank") according to the risk level of the investment product as determined by the Bank at its absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.

5. Fund price, exchange rate and market value are for reference only.

1 Input number of unit for switching

2 Click "Submit"





2 Click “Next”

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Suitability Assessment

To fulfill the requirements of the regulators, please complete the suitability assessment below. Information provided will be kept confidential.

The following suitability assessment is based on your latest Risk Profiling Questionnaire (RPQ) in the Bank's record for assessment. Do you have any changes to your Risk Profiling Questionnaire dated 09 Jun 2023 in the Bank's record?

☐ Yes ☒ No

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1 Select whether you have any changes to your Risk Profiling Questionnaire

2 Click "Next"

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Suitability Assessment

What is your investment objective for this transaction?

☐ Capital Preservation - Principally seek to preserve capital

☐ Income Oriented - Principally seek to have income generated from your investment

☒ Growth Oriented - Principally seek for capital growth of investment

☐ Aggressive Growth - Principally seek for profit maximization with extensive use of derivatives or leverage

Asset Concentration Assessment

1. Based on your investment holdings in the Bank, your asset concentration per individual product is that:

this intended investment amount is Below 20% of your assets under management maintained in the Bank.

2. Based on your investment holdings in the Bank, your asset concentration per product type is that:

this intended investment amount together with the sum of previous investment(s) in the same product type which remain(s) outstanding is Equal or more than 60% of your assets under management maintained in the Bank.

Do you invest less than 60% (including the investment amount of this application(s) and the sum of previous investment(s) in the same product type which remain(s) outstanding) of your total Net Worth# in this individual product?

☒ Yes ☐ No

3. Based on your investment holdings in the Bank, asset concentration per product risk rating is that:

this intended investment amount together with the sum of previous investment(s) in products with the same risk level in the Bank is Below 100% of your assets under management maintained in the Bank.

Total Net Worth equals to Total Assets minus Total Liabilities in the Bank and other financial institutions excluding real estate properties.

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1 Select your investment objective for this transaction

2 Conduct Asset Concentration Assessment

3 Click "Next"



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Suitability Assessment

Suitability Assessment

Customer	Product	Result
Risk Matching		Match
Investment risk profile	Risk level	
Very Aggressive	Medium	
Investment Objective Matching		Match
Investment objective	Investment objective(s)	
Growth Oriented	Income Oriented , Growth Oriented	
Investment Tenor Matching		Match
Investment horizon	years	
5 years or above		
Investment Experience/Knowledge Assessment		Pass
Asset Concentration Assessment		Pass

According to your asset under management maintained in the Bank, deviation in asset allocation per product type is noted, but you declared that there is no deviation in asset allocation per product type. # Net Worth equals to Total Assets minus Total Liabilities in the Bank and other assets excluding real estate properties.

Suitability Assessment Result:

✓ The selected product is suitable to you.

Customer Declaration

I/We confirm and declare that

- ☒ I/We fully understand the nature and associated risks of the product as well as the suitability assessment result. I/We consider that this product is suitable for me/us after taking into account my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. I/We request to apply for the product.
- ☒ I/We understand that there is/are other investment alternative(s), which match(es) with my/our risk appetite, investment objective & experience, and expected investment horizon. I/We decide to apply for the product and do not consider any other alternative(s).
- ☒ I/We fully understand the product risk rating as shown as above is subject to change by the Bank from time to time and I/we can visit the Bank's website: www.ocbc.com.hk for details of the most recent risk rating update. I/We understand that I/we should carefully consider if the product is suitable for me/us after taking into account the revised product risk level (if any), my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. If there is any mismatch as a result of a change in the product risk level, I/we am/are advised to seek independent professional advice.

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1 Suitability Assessment Result (Transaction will be rejected if there is any mismatch)

2 Read and click to confirm and declare the Customer Declaration

3 Click "Next"

If you are classified as Unit Trust frequent trade customer, Unit Trust frequent trade customer declaration would be needed



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Fund Switching

You have completed the "Customer Investment Suitability Questionnaire" and, based on the information provided, have been considered as suitable for the product. Please confirm the following transaction details:

Warning Statements for Complex Product

This fund is a complex product. Past performance is not indicative of future performance. For funds that are authorized by the SFC. SFC authorization is not an official recommendation or endorsement of a fund, nor does it guarantee the commercial merits of a fund or its performance. The relevant offering documents or information of this fund may not be reviewed by the SFC. You are advised to exercise caution in relation to the fund and the offer.

Details

Investment Account: 371479-740

Fund House: ALLIANZGI ASIA PACIFIC LTD

Fund Name: Allianz Asian Multi Income Plus - AMG/dia/HKD

Product Risk Rating: LOW-TO-MEDIUM

Remark:

No. of Unit Available for Switching: 1,113,894.0000

No. of Unit for Switching: 100.0000

The Bank's cut-off time: 17:45:00
(Instruction submitted before the cut-off time will be executed on the same dealing day; Instruction submitted after the cut-off time will be executed on the next dealing day. For more details about the dealing day, please refer to relevant prospectus.)

Switch To

Fund Name: Allianz Income and Growth-Eng

Fund Price: HKD 15.0000 (Previous Closing Price)
(For reference only)

Dividend Distribution: Cash

Product Risk Rating: MEDIUM

Switching Fee: 1.000%

Remark:

Amend

Confirm

Cancel

Important Disclosure

1. The Bank will act as distribution agent of the fund house when providing the unit trust investment service to you. The funds are products of the fund house but not the Bank;

2. There is no affiliation between the Bank and the fund house but the Bank is NOT an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to the prospectus (3) and (4) below;

3. The Bank will receive subscription fee/ switching fee up to the percentage stated in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;

4. The Bank will receive from the fund house up to 30% of annual management fee of the fund stated in this application form as ongoing commission every year throughout the term of your investment in the fund. However, this should not result in any additional charge to you;

5. The Bank may, at its sole and absolute discretion, offer discount of fees and charges as stated in the offering document(s) according to the fund type, account type, subscription amount and subscription channel.

6. In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and you out of the selling process or processing of the related transaction, the Dispute Resolution Scheme process with you; however any dispute over the contractual terms of the fund(s) should be resolved between directly the product issuer and you.

Note and Disclaimer:

1. Switching can only be made among designated funds under the same Fund House.

2. Any Switching must comply with the terms and conditions of offering documents of the fund(s). The switching will only be effective after the completion of the whole switching process.

3. All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.

Check transaction details and click "Confirm"



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Your Instruction has been Received. Bank Ref. No. 20330531000863

Instruction Received

Fund Switching

Warning Statements for Complex Product

This fund is a complex product. Past performance is not indicative of future performance. For funds that are authorized by the SFC. SFC authorization is not an official recommendation or endorsement of a fund, nor does it guarantee the commercial merits of a fund or its performance. The relevant offering documents or information of this fund may not be reviewed by the SFC. You are advised to exercise caution in relation to the fund and the offer.

Details

Investment Account: 371479-740

Fund House: ALLIANZGI ASIA PACIFIC LTD

Switch From

Fund Name: Allianz Asian Multi Income Plus - AMG/dis/HKD

Product Risk Rating: LOW-TO-MEDIUM

Remark:

No. of Unit Available for Switching: 1,113,894.0000

No. of Unit for Switching: 100.0000

The Bank's cut-off time: 17:45:00
(Instruction submitted before the cut-off time will be executed on the same dealing day; Instruction submitted after the cut-off time will be executed on the next dealing day. For more details about the dealing day, please refer to relevant prospectus.)

Switch To

Fund Name: Allianz Income and Growth-Eng

Fund Price: HKD 15.0000 (Previous Closing Price)
(For reference only)

Dividend Distribution: Cash

Product Risk Rating: MEDIUM

Switching Fee: 1.000%

Remark:

Information, Disclosures & Declarations

☒ I/We have read, fully understood and agreed all the below disclosures, declarations and information.

Important Disclosure

1. The Bank will act as distribution agent of the fund house when providing the unit trust investment service to you. The funds are products of the fund house but not the Bank;

2. There is no affiliation between the Bank and the fund house but the Bank is NOT an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to (3) and (4) below;

3. The Bank will receive subscription fee/ switching fee up to the percentage stated in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;

4. The Bank will receive from the fund house up to 30% of annual management fee of the fund stated in this application form as ongoing commission every year throughout the term of your investment in the fund. However, this should not result in any additional charges to the transaction specified in this application;

5. The Bank may, at its sole and absolute discretion, offer discount of fees and charges as stated in the offering document(s) according to the fund type, account type, subscription amount and subscription channel.

6. In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and you out of the selling process or processing of the related transaction, the Bank is required to enter into a Financial Dispute Resolution Scheme process with you; however any dispute over the contractual terms of the fund(s) should be resolved between directly the product issuer and you.

Declaration



Unit Trust Online Switching Demo

Suitability Assessment		
Customer	Product	Result
Risk Matching		✓ Match
Investment risk profile	Risk level	
Very Aggressive	Medium	
Investment Objective Matching		✓ Match
Investment objective	Investment objective(s)	
Growth Oriented	Income Oriented , Growth Oriented	
Investment Tenor Matching		✓ Match
Investment horizon	Tenor	
5 years or above	Less than 3 years	
Investment Experience/Knowledge Assessment		✓ Pass
Asset Concentration Assessment		✓ Pass
According to your asset under management maintained in the Bank, deviation in asset concentration assessment per product type is/are noted, but you declared that there is no undue asset concentration per your total Net Worth#. # Net Worth equals to Total Assets minus Total Liabilities in the Bank and other financial institutions excluding real estate properties.		
Suitability Assessment Result:		
✓ <i>The selected product is suitable to you.</i>		
Customer Declaration		
I/We confirm and declare that		
☒	I/We fully understand the nature and associated risks of the product as well as the suitability assessment result. I/We consider that this product is suitable for me/us after taking into account my/our risk appetite, investment objective, investment horizon, and expected investment horizon. I/We request to apply for the product.	
☒	I/We understand that there is/are other investment alternative(s), which match(es) with my/our risk appetite, investment objective & experience, and expected investment horizon. I/We decide to apply for the product and do not consider any other alternatives at the moment.	
☒	I/We fully understand the product risk rating as shown as above is subject to change by the Bank from time to time and I/we can visit the Bank's website: www.ocbc.com.hk for details of the most recent risk rating update. I/We understand that I/we should carefully consider if the product continues to be suitable for me/us after taking into account the revised product risk level (if any), my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. If there is any mismatch as a result of a change in the product risk level, I/we am/are advised to seek independent professional advice.	
Print & Save OK		

Click "Print & Save" to open a new window with the transaction details for printing and saving

Note and Disclaimer:

- Switching can only be made among designated funds under the same Fund House.
- Any Switching must comply with the terms and conditions of offering documents of the fund(s). The switching will only be effective after the completion of the whole switching process.
- All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.
- Product Risk Rating is assigned by OCBC Bank ("the Bank") according to the risk level of the investment product as determined by the Bank at its absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.
- Fund price, exchange rate and market value are for reference only.
- The number of units available for switching exclude units subscribed or redeemed which are pending settlement.
- Investment funds are traded using forward pricing and prices for a dealing day of the relevant fund will be known on its next dealing day. The dealing day of different fund may vary.
- Please refer to the offering document of the relevant fund or announcement of fund house for details of "dealing day" as well as fees and charges.
- Switching/ cancellation of switching instruction may not be available for all Unit Trusts on specific reason(s). OCBC Bank has the absolute discretion to reject the switching/ cancellation of switching instruction of particular fund(s) without giving any prior notice. Please contact our Wealth Management Manager at the investment corner of our designated branches for any enquiries.
- You will receive an order reference number after placing an instruction of switching, please note that the order reference number only represents the acknowledgement of receipt of your instruction by the Bank. There is no guarantee that your instruction can be executed on the same day or can be eventually executed. Please refer to relevant fund's offering documents for any switching restrictions (e.g. minimum fund size for switching, the dealing frequency and trading hours etc.), requirements and charges.
- Please be reminded that communications over the Internet may be subject to interruptions, transmission blackouts, delayed or incorrect data transmissions arising out of situations beyond our control. Messages sent over internet cannot be guaranteed to be completely secure. OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries will not be responsible in any manner for any damages incurred by you as a result of any delay, loss, diversion, alteration or corruption of any message either sent to or received from OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries over the internet.

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Online UT Monthly Investment Plan Demo

OCBC

1 Select "Investment"

2 Select "Unit Trust Monthly Investment Plan"

3 Select "Create"

4 Click to confirm you have read and understood the Important Disclosure

5 Declare you are not a citizen or resident of USA

6 Click "Confirm"

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Important Disclosure

1. The Bank provides unit trust investment service to you. The funds are products of the fund house but not the Bank;
2. The Bank is not a fund house and does not provide any investment advice or recommendation;
3. The Bank is an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to (3) and (4) below;
4. The Bank will disclose the fees, commissions and other non-monetary benefits from the fund house in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;
5. In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Scheme process with you; however any dispute over the contractual terms and conditions of the subscription amount and subscription channel.

☒ I/We have read and understood the above Important Disclosure.

Declaration

☒ I/We am/are not a citizen(s) or resident(s) of the United States of America.

Confirm

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1 Search Fund

Fund Search

Fund Name: DR A MT IN HK#C

Fund House: - Fund House -

- Asset Class -

- Region -

- Sector -

- Risk Rating -

- CCY -

- Period -

- Performance -

- Dividend Policy -

- Pay Out Frequency -

- Ann. Yield -

- Fund Type -

- Wealth Management Connect -

☒ All ☐ Available ☐ Top Selling Funds ☐ New Funds ☐ Redemption only

Reset Search

Summary | Performance | Dividend

Fund Name | Category | Unit Price (AQ)

Allianz Asian Multi Income Plus - AMI/dls/HKD

Balanced - Asia Pacific

HKD 7.6 (2023-01)

Medium-to-high

Doc

Purchase

Fund Video

2 Select "Purchase"

3 Select dividend distribution types

Your selected investment fund offers different dividend distribution types for your selection:

☐ Unit dividend (if any) to be distributed by way of "Unit"

☒ Cash dividend (if any) to be distributed by way of "Cash"

Select Cancel

Note: All distribution payments below a certain amount which are not justified to be distributed by way of Cash, will be automatically applied in the purchase of further Units in the relevant class of Units. Please refer to the relevant fund's offering documents for the details.

Documents: Prospectus | Annual Report | Semi-Annual Report

Information Source: ET Wealth Limited #

Remarks:

1. Fund's performance is calculated on a NAV-to-NAV basis and is not guaranteed. It is calculated without dividend reinvestment.

2. Please note that unit price can be Net Asset Value (NAV) or Unit Price.

3. Product Risk Rating is assigned by OCBC Wing Lee & Co. (Wing Lee & Co.) and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.

4. You should note that the offer details and the unit trust products available online may differ from those available from branches.

5. *The Selling Fund(s) are the top 10 best-selling Investment Funds among all Funds distributed by the Bank in HKT, 2022, based on the total subscription.

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Plan Details

Fund House: ALLIANZGI ASIA PACIFIC LTD

Fund Name: Allianz Asian Multi Income Plus - AMg/dis/HKD

Fund Price: HKD 15.0000 (Previous Closing Price)

(For reference only)

Dividend Distribution: Cash

Product Risk Rating: Low-To-Medium

Remark:

Product Currency: HKD

Monthly Investment Amount: HKD 1000

(A minimum of HKD1,000 and thereafter in multiples of HKD500)

Expected number of monthly installment: 12

Investment Account: Investment 371479-740

Payment Method: Current HKD 003009-001

(Payment by credit card will not entitle any credit card bonus points/ cash rebate)

Effective Date: 2023/08/15

1 Input monthly investment amount

2 Input expected number of monthly installment

3 Select Investment Account and Payment Method

4 Click "Submit"

Submit

Cancel

Important Disclosure

1. The Bank will act as distribution agent of the fund house when providing the unit trust investment service to you. The funds are products of the fund house but not the Bank;

2. There is no affiliation between the Bank and the fund house but the Bank is NOT an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to (3) and (4) below;

3. The Bank will receive subscription fee/ switching fee up to the percentage stated in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;

4. The Bank will receive from the fund house up to 10% of annual management fee of the fund stated in this application form as ongoing commission every year throughout the term of your investment in the fund. However, this should not result in any additional charges to the transaction specified in the application;

5. The Bank may, at its sole and absolute discretion, offer discount of fees and charges as stated in the offering document(s) according to the fund type, account type, subscription amount and subscription channel.

6. In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and you out of the selling process or processing of the related transaction, the Bank is required to enter into the Dispute Resolution Scheme process with you; however any dispute over the contractual terms of the fund(s) should be resolved between directly the product issuer and you.

Note and Disclaimer:

1. All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.

2. Product Risk Rating is assigned by OCBC Bank ("the Bank") according to the risk level of the investment product as determined by the Bank at its absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.

3. Fund price, exchange rate and market value are for reference only.

4. Investment funds are traded using forward pricing and prices for a dealing day of the relevant fund will be known on its next dealing day. The dealing day of different fund may vary.

5. Please refer to the offering document of the relevant fund or announcement of fund house for details of "dealing day" as well as fees and charges.

6. You may create or cancel your instruction on the online platform. However, creation/cancellation of instruction may not be available to some of the funds for specific reason(s) (e.g. SFC unauthorized funds cannot be subscribed online). Cancellation of instruction can be applied under the instruction status function, but it must be submitted and accepted by the Bank before the Bank's cut-off time on the same day of creating the instruction in order to be processed. The Bank has the absolute discretion to reject the instruction of particular fund(s) without giving any prior notice. Please contact our Wealth Management Manager at the investment corner of our designated branches designated branches for any enquiries.

7. You will receive an order reference number after placing an instruction of subscription, please note that the order reference number only represents the acknowledgement of receipt of your instruction by the Bank. There is no guarantee that your instruction can be executed on the same day or can be eventually executed. Please refer to relevant fund's offering documents for any subscription restrictions (e.g. minimum fund size for subscription, the dealing frequency and trading hours etc.), requirements and charges.

8. Please be reminded that communications over the Internet may be subject to interruptions, transmission blackouts, delayed or incorrect data transmissions arising out of situations beyond our control. Messages sent over internet cannot be guaranteed to be completely secure. OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries will not be responsible in any manner for any damages incurred by you as a result of any delay, loss, diversion, alteration or corruption of any message either sent to or received from OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries over the internet.

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Declaration

1. I/We acknowledge that I/we have received, read, understood and accepted the contents of the Bank's: (a) OCBC Bank (Hong Kong) Limited Terms and Conditions for All Accounts and Related Services and (b) Terms and Conditions of Investment Account ("Terms and Conditions"), as well as those of the related offering documents (including without limitation, prospectus, explanatory memorandum, annual reports, interim report, key fact statement and/or similar documents, howsoever described) of the relevant fund(s) and all risk disclosure(s) made in all of them and (c) Disclosure in respect of investment product. I/We agree that all of these documents (including amendments to them from time to time) are binding on me/us and that this application/subscription is subject to these documents (and to the extent of any inconsistency between the said Bank's documents and the related offering documents, the Bank's said documents shall prevail). I/We understand that hard copies of these documents can be obtained from the investment corner of the Bank's designated branches.

2. I/We acknowledge that I/we fully understand this investment product and am/are willing to assume the risks associated with it. Without prejudice to my/our right under Clause 2.8 of the Terms and Conditions (if applicable), I/we hereby undertake to be fully responsible for my/our application and shall indemnify the Bank against all and any losses damage or costs that the Bank may sustain suffer or incur as a consequence of my/our making this application except where such losses, damage or costs arise from wilful default, negligence or fraud of the Bank.

3. I/We am/are prepared for potential loss due to fluctuations in the performance of the relevant fund(s), including the possibility of losing part or all of the original principal and in certain circumstances my/our right to redeem may be restricted. For further information about the risks involved, I/we need to refer to the related documents.

4. I/We warrant to the Bank that I/we am/are not (a) subject to any of the prohibitions or restrictions (such as a person's age, nationality, citizenship, residence and/or domicile) set out in the offering document(s) of any of the relevant fund(s); and (b) investing in the relevant fund(s) on behalf of any person who is subject to any of such prohibition or restrictions and I/we undertake to inform the Bank immediately if the foregoing warranty is breached. I/We confirm that I/we am/are aware of the relevant legal and tax and exchange control regulations in force in my/our country of citizenship, residence or domicile.

5. (a) I/We understand that the Bank does not and will not act as advisor to me/us. Any decision to invest is made by me/us on the basis of the contents of the related offering documents. I/We have been informed that the risk level of the relevant fund(s) may be revised from time to time, and advised to seek independent professional advice to further understand the investment risk of the relevant fund(s) or review my/our investment portfolio if necessary.
(b) **(This clause 5(b) shall only be applicable if we are an Institutional Professional Investor or a Corporate Professional Investor (as defined in the Terms and Conditions))** we are not relying on any communication (whether written or oral) of the Bank or any of its affiliates as an investment advice or as a recommendation to make this investment (unless otherwise agreed by the Bank in writing) and understand that any information or explanation relating to the related transaction(s) provided by the Bank shall NOT (unless otherwise agreed by the Bank in writing) be considered its investment advice or recommendation to us to make this investment.

6. I/We hereby authorize the Bank to debit my/our designated settlement account under the Investment Account or OCBC Bank Credit Card account for the Monthly Investment of my/our UT Monthly Investment Plan Application.

7. I/We agree that the Bank shall not be obliged to ascertain whether or not notice has been given to me/us after debiting my/our specified account for effecting monthly subscription(s).

8. I/We jointly and severally accept full responsibility for any overdraft (or increase in existing overdraft) on my/our account or for any overlimit of my/our OCBC Bank Credit Card account which may arise as a result of effecting monthly subscription(s).

9. I/We agree that should there be insufficient funds in my/our account or insufficient credit limit in my/our OCBC Bank Credit Card account to meet any transfer hereby authorized, the Bank shall be entitled, in its discretion, not to effect any monthly subscription(s), to impose an administration fee for the specified account being unable to meet a debit authorization and to terminate the monthly investment plan hereby applied for. My/our contribution(s) made so far for the fund(s) will continue to be used for investment until the account is cancelled in a manner as prescribed by the Bank from time to time. The Bank shall also be entitled to retain any interest generated on any payment(s) made by/to me/us pending transfer to/from the fund house or me/us or other disposal hereunder. I/We further agree that all dividends and distributions declared on the funds will be automatically reinvested except where the Bank otherwise advises.

10. All instructions given by me/us may not be amended, rescinded or withdrawn without the Bank's written consent. I/We agree that any notice of cancellation or variation of this authorization which I/we may give to the Bank shall be given at least 3 working days prior to the date on which such cancellation/variation is to take effect. My/our contribution(s) made so far for the fund(s) will continue to be used for investment until the account is cancelled in a manner as prescribed by the Bank from time to time.

11. If the denomination between subscribed/redeemed fund(s) and the currency of the fund(s) is different, I/we agree that the Bank may, in its discretion, convert the fund(s) into the currency designated by the Bank to have the currency conversion at its prevailing rate of exchange without further reference to me/us.

12. I/we acknowledge that subject to change at the Bank's credit card payment, I/we agree that I/we should maintain sufficient funds in my/our credit card account to cover the monthly subscription(s) on the business day if that day is a holiday, and orders will then be placed on the following business day of the autopay transaction day except Saturday. For the avoidance of doubt, the Bank shall not be responsible for any loss or damage, whether to effect the transaction will subject to card issuer's discretion.

13. I/We have read, understand and accept the content of the Bank's Dispute Resolution Scheme (DRS) and agree to participate in the Dispute Resolution Scheme (DRS) arising between the Bank and me/us out of the selling process or processing of the relevant fund house and me/us.

14. I/We understand that in respect of an "eligible dispute" to enter into a Financial Dispute Resolution Scheme provided by the Bank.

15. I/We confirm that the information given above is correct and true.

16. I/We acknowledge that the Bank may decline this application if there is any change relating to the Plan's underlying unit trusts, including but not limited to, any amendments to the constitutional documents or any change in investment objectives or classification as derivative product according to regulatory requirement, or (ii) I/we do not agree to the Bank's decision to terminate the monthly investment plan ("the Plan") hereby applied by me/us at any time without prior notice to me/us or my/our designated settlement account.

17. In the event of conflict between English and Chinese versions, the English version shall prevail.

☒ I/We have read, understood and accept the above declarations as well as the Note and Disclaimer below.

1 Click to confirm you have read, understood and accept the Declaration and the Note and Disclaimer

2 Click "Next"

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Note and Disclaimer:

1. All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.

2. Product Risk Rating is assigned by OCBC Bank ("the Bank") according to the risk level of the investment product as determined by the Bank at its absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.

3. Fund price, exchange rate and market value are for reference only.

4. Investment funds are traded using forward pricing and prices for a dealing day of the relevant fund will be known on its next dealing day. The dealing day of different fund may vary.



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Suitability Assessment

To fulfill the requirements of the regulators, please complete the suitability assessment below. Information provided will be kept confidential.

The following suitability assessment is based on your latest Risk Profiling Questionnaire (RPQ) in the Bank's record for assessment. Do you have any changes to your Risk Profiling Questionnaire dated 09 Jun 2023 in the Bank's record?

☐ Yes

☒ No

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Select whether you have any changes to your Risk Profiling Questionnaire

2

Click "Next"

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Suitability Assessment

What is your investment objective for this transaction?

☐ Capital Preservation - Principally seek to preserve capital

☒ Income Oriented - Principally seek to have income generated from your investment

☐ Growth Oriented - Principally seek for capital growth of investment

☐ Aggressive Growth - Principally seek for profit maximization with extensive use of derivatives or leverage

Asset Concentration Assessment

1. Based on your investment holdings in the Bank, your asset concentration per individual product is that:

this intended investment amount is Below 20% of your assets under management maintained in the Bank.

2. Based on your investment holdings in the Bank, your asset concentration per product type is that:

this intended investment amount together with the sum of previous investment(s) in the same product type which remain(s) outstanding is Equal or more than 60% of your assets under management maintained in the Bank.

Do you invest less than 60% (including the investment amount of this application(s) and the sum of previous investment(s) in the same product type which remain(s) outstanding) of your total Net Worth# in this individual product?

☒ Yes ☐ No

3. Based on your investment holdings in the Bank, asset concentration per product risk rating is that:

this intended investment amount together with the sum of previous investment(s) in products with the same risk level in the Bank is Below 10

Total Net Worth equals to Total Assets minus Total Liabilities in the Bank and other financial institutions excluding real estate properties.

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1 Select your investment objective for this transaction

2 Conduct Asset Concentration Assessment

3 Click "Next"

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Suitability Assessment

Customer	Product	Result
Risk Matching Investment risk profile Very Aggressive	Risk level Low-To-Medium	Match
Investment Objective Matching Investment objective	Investment objective(s) Income Oriented , Growth Oriented	Match
Investment Tenor Matching Investment horizon 5 years or above		Match
Investment Experience/Knowledge Assessment		Pass
Asset Concentration Assessment According to your asset under management maintained in the Bank, deviation in % of Net Worth equals to Total Assets minus Total Liabilities in the Bank and other financial properties. I/we declare that there is no undue asset concentration per your total Net Worth#.		Pass

Suitability Assessment Result:
The selected product is suitable to you.

Customer Declaration
I/We confirm and declare that
☒ I/We fully understand the nature and associated risks of the product as well as the suitability assessment result. I/We consider that the product is suitable for me/us after taking into account my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. I/We fully understand the investment product and the risks involved, and I/we request to apply for the product.
☒ I/We understand that there is/are other investment alternative(s), which match(es) with my/our risk appetite, investment objective & experience, and expected investment horizon. I/We decide to apply for the product and do not consider any other alternatives at the moment.
☒ I/We fully understand the product risk rating as shown as above is subject to change by the Bank from time to time and I/we can visit the Bank's website: www.ocbc.com.hk for details of the most recent risk rating update. I/We understand that I/we should carefully consider if the product continues to be suitable for me/us after taking into account the revised product risk level (if any), my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. If there is any mismatch as a result of a change in the product risk level, I/we am/are advised to seek independent professional advice.

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1 Suitability Assessment Result (Transaction will be rejected if there is any mismatch)

2 Read and click to confirm and declare the Customer Declaration

3 Click "Next"





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Your Instruction has been Received. Bank Ref. No. 2023061200008343

Instruction Received

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Plan Details

Fund House: ALLIANZGI ASIA PACIFIC LTD

Fund Name: Allianz Asian Multi Income Plus - AMG/dis/HKD

Fund Price: HKD 15.0000 (Previous Closing Price)

(For reference only)

Dividend Distribution: Cash

Product Risk Rating: Low-To-Medium

Remark:

Product Currency: HKD

Monthly Investment Amount: HKD 1,000.00

Exchange Rate: 1.00000 (As of 2023/05/16)

Actual Monthly Investment Amount: HKD 1,000.00

(Rounded to nearest \$10 in investment currency)

Expected number of monthly installment: 12

Investment Account: Investment 371479-740

Payment Method: Current HKD 003009-001

Subscription Fee: 5.0000%

Effective Date: 2023/08/15

Information, Disclosures & Declarations

☒ I/We have read, fully understood and agreed all the below disclosures, declarations and information.

Important Disclosure

1. The Bank will act as distribution agent of the fund house when providing the unit trust investment service to you. The funds are products of the fund house but not the Bank;

2. There is no affiliation between the Bank and the fund house but the Bank is NOT an independent intermediary because we will receive fees, commissions and/or other monetary/ non-monetary benefits from the fund house in relation to the distribution of funds to you. For details, you should refer to (3) and (4) below;

3. The Bank will receive subscription fee/ switching fee up to the percentage stated in the order details of this application as commissions from the fund house, and the fund house may provide other non-monetary benefits such as marketing sponsorship and campaigns to the Bank;

4. The Bank will receive from the fund house up to 10% of annual management fee of the fund stated in this application form as ongoing commission every year throughout the term of your investment in the fund. However, this should not result in any additional charges to the transaction specified in this application;

5. The Bank may, at its sole and absolute discretion, offer discount of fees and charges as stated in the offering document(s) according to the fund type, account type, subscription amount and subscription channel.

6. In respect of an "eligible dispute" (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the Bank and you out of the selling process or processing of the related transaction, the Bank is required to enter into a Financial Dispute Resolution Scheme process with you; however any dispute over the contractual terms of the fund(s) should be resolved between directly the product issuer and you.

Declaration

I/We am/are not a citizen(s) or resident(s) of the United States of America.

Unit Trust Monthly Investment Plan Create Declaration

1. I/We acknowledge that I/we have received, read, understood and accepted the contents of the Bank's: (a) OCBC Bank (Hong Kong) Limited Terms and Conditions for All Accounts and Related Services and (b) Terms and Conditions of Investment Account ("Terms and Conditions"), as well as those of the related offering documents (including without limitation, prospectus, explanatory memorandum, annual reports, interim report, key fact statement and/or similar documents, howsoever described) of the relevant fund(s) and all risk disclosure(s) made in all of them and (c) Disclosure in respect of investment product. I/We agree that all of these documents (including amendments to them from time to time) are binding on me/us and that this application/subscription is subject to these documents (and to the extent of any inconsistency between the said Bank's documents and the related offering documents, the Bank's said documents shall prevail). I/We understand that hard copies of these documents can be obtained from the investment corner of the Bank's designated branches.



Online UT Monthly Investment Plan Demo

17. In the event of conflict between English and Chinese versions, the English version shall prevail.

Suitability Assessment

Customer	Product	Result
Risk Matching		Match
Investment risk profile	Risk level	
Very Aggressive	Low-To-Medium	
Investment Objective Matching		Match
Investment objective	Investment objective(s)	
Income Oriented	Income Oriented , Growth Oriented	
Investment Tenor Matching		Match
Investment horizon	Tenor	
5 years or above	Less than 1 year	
Investment Experience/Knowledge Assessment		Pass
Asset Concentration Assessment		Pass

According to your asset under management maintained in the Bank, deviation in asset concentration assessment per product type is/are noted, but you declared that there is no undue asset concentration per your total Net Worth#.

Net Worth equals to Total Assets minus Total Liabilities in the Bank and other financial institutions excluding real estate properties.

Suitability Assessment Result:

Match The selected product is suitable to you.

Customer Declaration

I/We confirm and declare that

☒ I/We fully understand the nature and associated risks of the product as well as the suitability assessment result. I/We consider that this product is suitable for me/us after taking into account my/our risk appetite, investment objective(s), financial situation, asset concentration and personal circumstances. I/We request to apply for the product.

☒ I/We understand that there is/are other investment alternative(s), which match(es) with my/our risk appetite, investment objective & experience, and expected investment horizon. I/We decide to apply for the product and do not intend to seek independent professional advice.

☒ I/We fully understand the product risk rating as shown as above is subject to change by the Bank from time to time and I/we can visit the Bank's website: www.ocbc.com.hk for details of the most recent risk rating update. I/We understand that I/we should carefully consider the product risk rating and whether it continues to be suitable independent of my/our investment decision.

Note and Disclaimer:

1. All information provided above is for reference only and should not be regarded as the official balance confirmation or advice.

2. Product Risk Rating is assigned by OCBC Bank ("the Bank") according to the risk level of the investment product as determined by the Bank at its absolute discretion and it may be revised from time to time, taking into account the latest market conditions and other relevant factors. You should carefully consider if the product risk level (as may be revised from time to time) continues to match with your investment risk profile, investment objective(s), and financial situation. If there is any mismatch as a result of a change in the product risk level, you are advised to seek independent professional advice.

3. Fund price, exchange rate and market value are for reference only.

4. Investment funds are traded using forward pricing and prices for a dealing day of the relevant fund will be known on its next dealing day. The dealing day of different fund may vary.

5. Please refer to the offering document of the relevant fund or announcement of fund house for details of "dealing day" as well as fees and charges.

6. You may create or cancel your instruction on the online platform. However, creation/cancellation of instruction may not be available to some of the funds for specific reason(s) (e.g. SFC unauthorized funds cannot be subscribed online). Cancellation of instruction can be applied under the instruction status function, but it must be submitted and accepted by the Bank before the Bank's cut-off time on the same day of creating the instruction in order to be processed. The Bank has the absolute discretion to reject the instruction of particular fund(s) without giving any prior notice. Please contact our Wealth Management Manager at the investment corner of our designated branches for any enquiries.

7. You will receive an order reference number after placing an instruction of subscription, please note that the order reference number only represents the acknowledgement of receipt of your instruction by the Bank. There is no guarantee that your instruction can be executed on the same day or can be eventually executed. Please refer to relevant fund's offering documents for any subscription restrictions (e.g. minimum fund size for subscription, the dealing frequency and trading hours etc.), requirements and charges.

8. Please be reminded that communications over the Internet may be subject to interruptions, transmission blackouts, delayed or incorrect data transmissions arising out of situations beyond our control. Messages sent over internet cannot be guaranteed to be completely secure. OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries will not be responsible in any manner for any damages incurred by you as a result of any delay, loss, diversion, alteration or corruption of any message either sent to or received from OCBC Bank (Hong Kong) Limited and its Hong Kong subsidiaries over the internet.

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