



## 客戶資料 Customer Information

身份証/護照 /公司註冊證號碼 ID Card/Passport / Certificate of Incorporation No.:

客戶號碼(CIF No.):

## 賬戶資料 Account Information

☐ 取消 Close      投資賬戶號碼 Investment Account No.

結算賬戶號碼 Settlement Account No.:

| <u>新增</u> Add            | <u>刪除</u> Delete         | <u>賬戶號碼</u> Account No. |
|--------------------------|--------------------------|-------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |                         |
| <input type="checkbox"/> | <input type="checkbox"/> |                         |
| <input type="checkbox"/> | <input type="checkbox"/> |                         |

## 證券交易途徑 Stock Trading Channels

☐ 本人(等)欲新增/取消以下證券交易途徑 I/We wish to add/cancel the following Stock Trading Channels.

| 新增 Add                   | 取消 Cancel                | 交易途徑 Trading Channels  |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> |                          | 分行 Branch  |
| <input type="checkbox"/> | <input type="checkbox"/> | 互聯網交易、流動電話交易及電話交易 Internet Trading, Mobile Trading & Phone Trading |
| <input type="checkbox"/> | <input type="checkbox"/> | 專人接聽證券交易熱線 Stock Trading Hotline                                   |

☐ 本人(等)欲重發股票交易中心密碼 (指定使用者(如適用)\_\_\_\_\_)

I/We wish to reissue Call Centre PIN (Designated User (if applicable)\_\_\_\_\_)

## 「易傳訊」服務 eAlert Service

本人(等)欲更改接收「易傳訊」服務之流動電話號碼及/或語言如下 I/We wish to change the mobile no. and / or language for the purpose of the eAlert Service as follows.

本人之流動電話號碼  
My Mobile Phone No. :

國家/地區  
Country/Region :

☐ 美國 United States of America ☐ 加拿大 Canada  
☐ 香港<sup>^</sup>或其他 Hong Kong<sup>^</sup> or Others

顯示語言 Language Display : ☐ 繁體中文 Traditional Chinese ☐ 簡體中文 Simplified Chinese ☐ 英文 English

## 證券報價服務 Stock Quote Services#

本人(等)欲申請/更改<sup>†</sup>以下證券報價服務 I/We wish to apply/amend<sup>†</sup> the following Stock Quote Services.

- ☐ 基本證券報價服務 (免費) Basic Per Quote Access (Free of Charge)
- ☐ 額外證券報價服務 (按次收費)\* Extra Quote Access (Charge per quote)\*

**注意 Note:**

# 如不填寫，將預設為基本證券報價服務。  
If not specified, the Basic Per Quote Access will be applied.

\* 本行不時述明的服務費用適用於額外證券報價服務，並將從閣下之結算賬戶中扣除。  
Service fees at such rate as specified by OCBC Bank (Hong Kong) Limited from time to time apply for Extra Quote Access shall be debited from your settlement account.

† 所有更改證券報價服務的申請，將於下月起開始生效。  
All amendment requests relating to Stock Quote Services will take effect from the following month.

## 客戶存款相關資料運用同意及授權書

### Consent and Authorization for Use of Customer Deposit - related Information

- ☐ 本人(等)同意及授權華僑銀行(香港)有限公司使用本人(等)之存款相關資料作為向本人(等)提供投資及財富管理服務之用。  
I/We hereby consent to and authorize OCBC Bank (Hong Kong) Limited to access and utilize my/our deposit-related information for providing Investment / wealth management services to me/us.
- ☐ 本人(等)不希望華僑銀行(香港)有限公司使用本人(等)之存款相關資料作為向本人(等)提供投資及財富管理服務之用。  
I/We do not wish OCBC Bank (Hong Kong) Limited to access or utilize my/our deposit-related information for providing investment / wealth management services to me/us.

要求投資賬戶電子結單及電子交易通知書服務（只適用於商業客戶）

**Request eStatement and eAdvice Service for Investment Accounts (For business customer ONLY)**

重要提示：商業客戶必須擁有有效的Velocity賬戶以查閱和/或下載電子結單及電子交易通知書。如有需要，請聯絡華僑銀行開立OCBC Velocity及商業流動理財服務。

**Important Note: For business customers, you must have a valid OCBC Velocity account for the access and download of eStatement and eAdvice Service. If needed, please contact the Bank to apply for OCBC Velocity and Business Mobile Banking Service.**

- ☐ 為了通過減少紙張的使用量來保護環境，本人(等)就現有的所有投資賬戶申請電子結單及電子交易通知書服務。本人(等)接受並同意銀行不會以郵寄形式寄出相應的書面結單及交易通知書，除非本人(等)另行指示。請注意：

To protect the environment by reducing the use of paper, I/we apply for eStatement and eAdvice Service for all my/our existing investment account(s). I/We accept and agree that the Bank will not send any statement or advice for the said account(s) in paper form via mail, unless I/We instruct the Bank otherwise. Please note that:

- 本人(等)確認擁有有效的Velocity賬戶以查閱和/或下載客戶的電子結單及電子交易通知書：  
I/We confirm that I/we have a valid Velocity account to access and/or download the eStatement and eAdvice:

我/我們的OCBC Velocity 公司代碼：

My/Our OCBC Velocity Organisation ID :

- 本人(等)將持續更新在銀行記錄中的電郵地址、通信地址和流動電話號碼，以便銀行可以將提示/通知成功發送給本人(等)。  
I / We will keep my/our email address, correspondence address and mobile phone number on the Bank's record up-to-date so that any notification/ message can be successfully delivered to me/us.
- 本人(等)同意銀行可在本表格簽署日起不多於1個月就以上賬戶開始提供電子結單及電子交易通知書服務。  
I/We agree that the Bank can commence eStatement and eAdvice Service to the above account(s) not more than 1 month from the signing date of this form.
- 如果閣下希望繼續以郵寄形式接收現有賬戶(包括所有投資賬戶及附屬賬戶)相應的書面結單及交易通知書，請在以下方格內加上剔號(“✓”)：  
If you wish to continue to receive statements and advices in paper form via mail for your existing account(s) (including any account(s) or subsidiary account(s) in the future, please check the box below with a tick (“✓”):
- ☐ 本人(等)申請以郵寄形式接收所有現有賬戶(包括所有投資賬戶及附屬賬戶)的書面結單及交易通知書服務。  
I/We apply to receive statements and advices in paper form via mail for all my/our existing account(s).

備註：如果閣下選擇以郵寄形式接收所有現有賬戶(包括所有投資賬戶及附屬賬戶)相應的書面結單，本行將會就每份書面結單每月在閣下的存款賬戶收取郵寄結單服務費用。請繼續使用本行的電子結單及電子交易通知書服務以保護環境。

Remark: Paper statement fee will be charged to your deposit account on a monthly basis if you wish to receive or continue to receive statements in paper form for all your existing account(s) (including all investment account(s) and subsidiary account(s)). Please continue to use our eStatement and eAdvice Service to protect the environment.

**銀行專用 For Bank Use Only**

|         |     |                     |                      |
|---------|-----|---------------------|----------------------|
| CIF No. | AOB | SV/WIT & Handled by | SV/WIT & Approved by |
|---------|-----|---------------------|----------------------|

Copy to CCD

## 聲明及協議 Declaration & Agreement

本人(等)簽署本表格，即表示：

1. 本人(等)確認在此表格內及就此提供及/或之後提供的所有文件內的所有資料全屬真實、準確和完整，並授權銀行以任何其認為適當的途徑以確証該等資料之真確性及向有關方面傳達和交換該等資料。如該等資料有任何更改，本人(等)承諾將以書面即時通知銀行。
2. 本人(等)明白銀行有絕對決定權拒絕本人(等)在此的任何申請，而毋須提供理由或通知，亦毋須負上任何責任。
3. 本人(等)授權銀行從本人(等)的賬戶支取由銀行不時指定之利息、佣金、費用及收費。
4. 本人(等)明白及同意，銀行可不時及按其絕對酌情權指定、決定、修訂、變更、修改、擴大或縮小本人(等)在此或之後申請的任何服務的範圍，並由銀行決定是否向本人(等)發出通知。
5. 本人(等)明白在此或其他申請的所有服務均受限於列載於此表格、華僑銀行(香港)有限公司所有戶口及相關服務之條款及章則中的條款及章則(包括就此的任何附錄、補充和修訂)，以及列載於其他協議及文件內而適用於該等服務的特別指定條款及章則。本人(等)已細閱及明白上述所有條款及章則，並同意受其所約束(銀行對上述文件可不時作出修改或補充)。若上述的特別指定條款及章則與華僑銀行(香港)有限公司所有戶口及相關服務之條款及章則之間有任何衝突或抵觸，概以特別指定條款及章則為準。
6. 本人(等)已細閱及明白「關於個人資料(私隱)條例的客戶及其他個別人士通知」，及同意銀行可根據該通知所列載的用途使用及向有關人士(不論位於香港境內或境外)披露本人(等)目前或隨後由銀行持有的所有個人資料。
7. 本人(等)確認銀行並無向本人(等)提供任何稅務或法律意見。
8. 除非在此表格內有明確定義及受限於上述第5段，在本表格內用大寫字母書寫的詞語與於華僑銀行(香港)有限公司所有戶口及相關服務之條款及章則中界定的詞彙具有相同涵義。
9. 如本文件之中、英文版本互不相符，概以英文版本為準。
10. 本人(等)完全明白及同意投資涉及風險，投資產品的價格有時可能會非常波動。投資未必一定能夠賺取利潤，反而可能會招致損失。
11. 本人(等)明白，本人(等)對投資賬戶之使用及經投資賬戶使用的任何服務(包括但不限於證券交易服務、「易傳訊」服務及證券報價服務)同時受華僑銀行(香港)有限公司投資賬戶條款及章則中的條款及章則所限(包括就此的任何附錄、補充及/或修訂)。本人(等)已細閱及明白華僑銀行(香港)有限公司投資賬戶條款及章則，並同意受其所約束(銀行對上述文件可不時作出修改或補充)。

### 適用於證券報價服務

12. 本人(等)明白，證券報價服務提供的所有數據和資料，均由第三方供應商根據該等第三方供應商規定的使用條款、規則及條件提供。本人(等)同意受有關使用條款、規則及條件所約束。本人(等)明白，證券報價服務向本人(等)提供的所有數據及資料，均按「現有」及「現況」基準提供。銀行或任何第三方供應商並無就證券報價服務或據其向本人(等)提供的數據及資料而作出任何類別的保證(不論明示或隱含的)。本人(等)同意及接受，如本人(等)使用證券報價服務，有關風險由本人(等)承擔。

### 適用於「易傳訊」服務

13. 本人(等)同意就「易傳訊」服務而按銀行不時指定的方式，在銀行登記及記錄本人(等)指定的流動電話號碼。儘管有前文所述規定，本人(等)同意銀行有權以其認為合適的其他方式提供「易傳訊」服務，而毋須事前通知本人(等)，亦毋須本人(等)同意。
14. 本人(等)明白及接受提示信息可能會出錯、延遲、不完整、不準確或被中斷。本人(等)同意，除明顯錯誤外，銀行的紀錄在各種用途上均為本人(等)與銀行所訂立交易的確證。
15. 本人(等)明白「易傳訊」服務是由第三方服務供應商提供。本人(等)特此授權銀行向第三方服務供應商披露任何銀行發出與本人(等)有關的信息及資料，以便第三方服務供應商可向本人(等)提供「易傳訊」服務。銀行並有權可於銀行認為需要時監察及記錄有關內容。

### By signing this form

1. I/We confirm that all information given in this form and all supporting documents submitted by me/us herewith and/or subsequently is true, accurate and complete and authorize the Bank to communicate and exchange such information with whatever sources the Bank may consider appropriate for the purpose of verifying the same. I/We undertake to notify the Bank forthwith in writing of any changes to such information.
2. I/We understand that the Bank is entitled to reject any of my/our application(s) herein in its absolute discretion without giving any reason or notice and without liability.
3. I/We authorize the Bank to debit from my/our account(s) any interest, commissions, fees and charges as specified by the Bank from time to time.
4. I/We understand and agree that the Bank may from time to time and in its absolute discretion specify, determine, amend, vary, modify, expand or reduce the scope of any services that I/we apply for herein or subsequently, with or without notice as determined by the Bank.
5. I/We understand that all service(s) that I/we apply for herein or subsequently are subject to the terms and conditions contained in this form, the OCBC Bank (Hong Kong) Limited Terms and Conditions for All Accounts and Related Services (including any addendums, supplements and/or amendments to such terms and conditions) and the specific terms and conditions in other agreements and documentation applicable to the service(s) applied herein. I/We have read and understood all of the aforementioned terms and conditions, and agree to be bound by the same as may be amended or supplemented by the Bank from time to time. If there is any conflict or inconsistency between the OCBC Bank (Hong Kong) Limited Terms and Conditions for All Accounts and Related Services and the specific terms and conditions, the latter shall prevail.
6. I/We have read and understood the Notice to Customers and Other Individuals Relating to the Personal Data (Privacy) Ordinance, and agree that all my/our personal data currently or subsequently held by the Bank may be used for such purposes and disclosed to such persons (whether in or outside Hong Kong) as set out in the Notice.

7. I/We confirm that the Bank has not provided any tax or legal advice to me/us.
8. Unless expressly defined herein and subject to paragraph 5 above, capitalized terms used herein have the same meanings in the OCBC Bank (Hong Kong) Limited Terms and Conditions for All Accounts and Related Services.
9. I/We understand that if there is any conflict or inconsistency between the Chinese and English versions of this document, the English version shall prevail.
10. I/We fully understand and agree that investment involves risks, prices of investment products fluctuate (sometimes dramatically) that it is as likely that losses will be incurred rather than profit made as a result of investment.
11. I/We understand that my/our use of the Investment Account and any services that I/we will use under the Investment Account (including without limitation Stock Trading Services, eAlert Service and Stock Quote Services) are also subject to the terms and conditions in the OCBC Bank (Hong Kong) Limited Terms and Conditions of Investment Account (including any addendums, supplements and/or amendments thereto). I/We have read and understood the OCBC Bank (Hong Kong) Limited Terms and Conditions of Investment Account and agree to be bound by the same as may be amended or supplemented by the Bank from time to time.

**Applicable to Stock Quote Services**

12. I/We understand that all data and information provided under the Stock Quote Services are provided by third party provider(s) subject to terms of use, rules and conditions stipulated by such third party provider(s). I/We agree to be bound by all such terms of use, rules and conditions. I/We also understand that all data and information provided to me/us under the Stock Quote Services are provided on "as is" and "as available" bases. No warranty of any kind (whether express or implied) is given by the Bank or any of the third party provider(s) in respect of the Stock Quote Services or data and information provided to me/us thereunder. I/We agree and accept that I/we will use the Stock Quote Services at my/our own risk.

**Applicable to eAlert Service**

13. I/We agree to register and record with the Bank the number of my/our designated mobile phone for the eAlert Service, in the manner as specified by the Bank from time to time. Notwithstanding the aforesaid, the Bank is entitled to provide the eAlert Service to me/us by other modes if it sees fit without prior notice to or consent from me/us.
14. I/We understand and accept that the alert message may be erroneous, delayed, incomplete, and inaccurate or subject to interruption. I/We agree that save for manifest error, the records of the Bank shall, for all purposes, be conclusive evidence of my/our transactions with the Bank.
15. I/We understand that the eAlert Service is provided by third party service provider(s). I/We authorize the Bank to disclose to the third party service provider(s) any of the messages and information sent or to be sent by the Bank which may concern me/us to enable the third party service provider(s) to provide the eAlert Service to me/us. The Bank is entitled to monitor and record such contents if it considers necessary to do so.

S.V.

申請人簽署 **A/C Holder Signature (Applicant's Signature)**

日期 **Date:**

客戶號碼 **CIF No.:**

| 銀行專用 For Bank Use Only |                 |
|------------------------|-----------------|
| SV/WIT & Handled by    | SV/WIT & APP by |