



**Terms and Conditions of Preset Order Service for Securities Trading  
("Preset Order Service") of OCBC Bank (Hong Kong) Limited (the "Bank")**

1. The Preset Order Service is subject to these terms and conditions and the "Terms and Conditions of Investment Account" as amended from time to time (which form part of these terms and conditions). In the event of any conflict or inconsistency between these terms and conditions and the "Terms and Conditions of Investment Account", these terms and conditions shall prevail to the extent of the conflict or inconsistency for the Preset Order Service.
2. In these terms and conditions, capital words and expressions shall have the same meaning as defined in the Terms and Conditions of Investment Account, unless expressly provided otherwise herein or the context otherwise requires:
  - "Preset Order" means the Instruction or order to the Bank pre-set by the Customer to buy and/or sell Securities of a type designated by the Bank for the Preset Order Service from time to time. The types of Preset Order include without limitation Preset Buy / Sell Order, Stop Loss Sell Order and Bi-directional Stop Gain/Loss Order and such other types as the Bank may from time to time specify.
  - "Valid Period" means the period between the Preset Order placement date and the valid date, both inclusive, acceptable to the Bank from time to time in its sole and absolute discretion.
  - "Preset Buy / Sell Price Acceptable Range" means the price range (in number of spreads) determined by the Bank from time to time in its absolute discretion applicable to a Preset Buy/Sell Order.
  - "Stop Loss Price Acceptable Range" means the price range (in number of spreads) determined by the Bank from time to time in its absolute discretion applicable to a Stop Loss Sell Order or a Bi-directional Stop Gain/Loss Order
  - "Limit Selling Price Acceptable Range" means the price range (in number of spreads) determined by the Bank from time to time in its absolute discretion applicable to a Bi-directional Stop Gain/Loss Order.
3. Customer is required to successfully open an investment account with the Bank before using the Preset Order Service.
4. Through the channel(s) designated by the Bank from time to time, Customer may place or cancel a Preset Order, and/or inquire its status.
5. The Valid Period set by the Customer for a Preset Order shall not be longer than the acceptable valid period determined by the Bank from time to time in its sole and absolute discretion.
6. The preset buy price specified by the Customer of a Preset Buy/Sell Order must be lower than, but within the Preset Buy Price Acceptable Range, or equivalent to the nominal price; and the preset sell price specified by the Customer of a Preset Buy/Sell Order must be higher than, but within the Preset Sell Price Acceptable Range, or equivalent to the nominal price.
7. Customer understands and accepts that the Bank does not guarantee that the Preset Buy/Sell Order can be executed, wholly

or partially or at all. If the Preset Buy/Sell Order is not executed at the end of the trading hour of the day, and it is neither cancelled nor expired, the Preset Buy / Sell Order shall be carried forward to the next trading day, until the end of the Valid Period. The Preset Buy/Sell Order, to the extent unexecuted, shall expire at the end of the continuous trading session on the last trading day of the Valid Period.

8. The stop loss price specified by the Customer in a Stop Loss Sell Order or in a Bi-directional Stop Gain/Loss Order must be lower, but within the Stop Loss Price Acceptable Range, than the nominal price. The lowest selling price specified by the Customer of a Stop Loss Sell Order in a Bi-directional Stop Gain/Loss Order must be lower than or equivalent to the stop loss price. Both the stop loss price and the lowest selling price should not be lower than 0.01 in the trading currency of the Securities to which the order relates.
9. The limit selling price specified by the Customer in a Bi-directional Stop Gain/Loss Order must be higher, but within the Limit Selling Price Acceptable Range, than the nominal price. All of the limit selling price, stop loss price and lowest selling price should not be lower than 0.01 in the trading currency of the Securities to which the order relates. The difference between the limit selling price and stop loss price should be within the minimum and maximum limit as determined by the Bank from time to time in its sole and absolute discretion.
10. A Stop Loss Sell Order will be triggered and placed to the market as enhanced limit order(s) for execution when the nominal price is equivalent to or lower than the stop loss price during the continuous trading session on a trading day of the Exchange within the Valid Period. The execution price may be equivalent to or lower than the stop loss price but shall not be lower than the lowest selling price.
11. A Bi-directional Stop Gain/Loss Order will be triggered and placed to the market as enhanced limit order(s) for execution when the nominal price is either (i) higher than or equivalent to the limit selling price; or (ii) lower than or equivalent to stop loss price; during the continuous trading session on a trading day within the Valid Period. If the Bi-directional Stop Gain/Loss Order is triggered under (i), the execution price will be equivalent to the limit selling price. If the Bi-directional Stop Gain/Loss Order is triggered under (ii), the execution price may be equivalent to or lower than the stop loss price but shall not be lower than the lowest selling price.
12. Notwithstanding Clauses 10 and 11 above, Customer understands and agrees that a Stop Loss Sell Order or a Bi-directional Stop Gain/Loss Order may not be triggered at all within the Valid Period. The order(s) that has neither been triggered or cancelled will expire at the end of the continuous trading session on the last trading day of the Valid Period. Even if a Stop Loss Sell Order or a Bi-directional Stop Gain/Loss Order has been triggered, the order(s) may not be executed partially or fully, or even may not be executed at all. The Bank does not guarantee that any of the order(s) will be executed fully or partially or at all. Customer understands and agrees that once triggered, the order(s) will lapse at the end of that trading date to the extent not executed and, will not be carried forward to the next trading day.
13. The Bank shall not be obliged to notify the Customer if a Preset Order cannot be executed or wholly executed or expired.
14. A Preset Order placed after the trading hours or during non-trading days of the Exchange will be treated as if it were received on the next trading day.
15. Customer should ensure that there is sufficient cash or Securities maintained in his Settlement Account and Investment Account before placing a Preset Order. Without prejudice and in addition to any other rights of the Bank, the Customer understands and acknowledges that:
  - a) upon receipt of a Preset Buy Order, the Bank will hold the full value of the purchase and all related charges and expenses such as banking charges, tax, stamp duty) against the available balance in the Customer's Settlement Account to enable the Bank to complete the transaction, which may in the Bank's sole and absolute discretion include funds receivable from selling Securities pending to be settled; and

- b) upon receipt of a Preset Sell Order, Stop Loss Sell Order or Bi-directional Stop Gain/Loss Order, the Bank will hold Securities from Customer's Investment Account and apply them to meet the order(s), which may in the Bank's sole and absolute discretion include Securities receivable from purchase pending to be settled.
16. The minimum investment amount of a Preset Order is one lot of the Securities to which the order relates and should be its multiples thereafter.
  17. A Preset Order can only be cancelled by the Customer in accordance with these terms and conditions, and cannot be amended once placed. The cancellation must be made before it is triggered (in case of a Stop Loss Sell Order or a Bi-directional Stop Gain/Loss Order), or executed at the market, or expired.
  18. The Bank reserves the right to specify or vary the channel under which the Preset Order Service may be made available to Customer, the types of Preset Order or the types of Securities applicable to the Preset Order Service in its absolute discretion at any time without giving any prior notice.
  19. The Bank reserves the right to reject or cancel a Preset Order placed by the Customer at any time in its sole and absolute discretion without giving prior notice or reason, even if it has already been placed to the market for execution. The Bank shall not be liable for any loss or damage that may be suffered by the Customer arising from or in connection with such rejection or cancellation. Without limiting the generality of the foregoing, if the Bank in its sole and absolute discretion determines that a material change (including but not limited to change in stock price or stock quantity) occurs in the Preset Order placed by the Customer as a result of any corporate action of the listing company (including but not limited to stock splits or capital reorganization), the Bank has the right to reject such Preset Order at any time before the start of the trading session of the trading day on which the corporate action takes effect. The Bank may (but is not obliged to) also cancel the Preset Order, upon the Bank's receipt of written notification of the death of the Customer who placed the order.
  20. The Customer should fully understand the types of Preset Order, its operation and the risks involved before each order placement.
  21. The Customer acknowledges that he is fully aware of the risks involved in Securities trading. All Preset Orders are placed in reliance on the Customer's own judgment, and all risks in relation thereto shall be borne by the Customer.
  22. Customer acknowledges that even though Customer may have taken certain precautionary measures to limit the extension of loss (including but not limited to Stop Loss Sell Order), such measures may not be able to serve its intended purpose because of the features and characteristics of the Preset Order and the market conditions. The Customer shall bear all risks in relation thereto.
  23. Without prejudice and in addition to the terms and conditions of the Terms and Conditions of Investment Account in relation to the Bank's exclusion of liability, the Bank is not responsible for any loss or damage suffered by the Customer arising from any interruption or failure of any transmission, telecommunications or trading system or any other event which causes the Preset Order not be triggered or delayed or interrupted.
  24. The Bank may cancel, suspend or vary or terminate the Preset Order Service (or any part thereof) to any Customer or any of the terms and conditions thereof at any time and from time to time in its absolute discretion without giving any prior notice to Customer.
  25. These terms and conditions shall be governed by and construed in accordance with the laws of Hong Kong SAR and the Customer hereby irrevocably submits to the non-exclusive jurisdiction of Hong Kong SAR courts.
  26. If there shall be any discrepancy between the Chinese and English versions of these terms and conditions, the English version shall prevail.